

# Confirmation of Acceptance of Study ('CAS') issuing procedure for applicants and students

Enabling Policy Statement; Executive Owner; Approval Route:	Our Students - Chief Student Officer - Executive Board	
Is the Procedure for internal use only (Non-disclosable) ?	Disclosable	
Associated Policy Statements:	N/A	
Authorised owner:	Director of UK Recruitment and Admissions.	
Authorised Co-ordinator:	Director of Student Life	
Effective date:	February 2025	
Due date for full review:	September 2027	
Sub documentation:	N/A.	

# **Approval History**

Version	Reason for review	Approval Route	Date
1.0	Creation of procedure	UEC	November
			2016
2.0	Annual review	UEC	April 2018
3.0	Annual review	UEC	May 2019
4.0	Annual review	UEC	October
			2020
5.0	Annual review	UEC	August 2023
5.1	Amendment to previous	N/A	April 2024
6.0	Migration to POPP and updates to department	UEC (Chairs' Action) and EB	26 February
	names in CSO.		2025

#### 1. Purpose

1.1. This document sets out the University of Surrey's ('the University') procedure regarding the issuance of CAS statements to applicants and students. This procedure is specific to the University and does not necessarily set out the full requirements of the Immigration Rules. The University is entitled to revise its procedures relating to compliance with its student sponsor duties at any time and will usually do so where there have been any applicable changes to sponsorship requirements made by UK Visas & Immigration ('UKVI'). This procedure should be read in conjunction with <a href="the Student Route Sponsor Guidance">the Student Route Sponsor Guidance</a>, <a href="student Route Sponsor Guidance">Student Route Sponsor Guidance</a> and <a href="the Immigration Rules">the Immigration Rules</a>; where these change the current version applies irrelevant of when the CAS is issued. The Immigration Rules and associated UKVI guidance documents will remain the main source of the University's obligations, regardless of whether any requirements are dealt with in this Procedure. The University is not legally obliged to issue a CAS statement and will always exercise caution when doing so, to ensure adherence to its obligations as a licensed sponsor under the Points Based Immigration ('PBI') system.

## 2. Scope and Exceptions to the Procedure

- 2.1. This procedure applies to all students that require a Student Route Visa to study on campus at the University of Surrey.
- 2.2. This procedure outlines the CAS assignment, withdrawal, academic progression, transfer process, visa refusal and terms and conditions of Student Route sponsorship.

## 3. Definitions and Terminology

CAS: Confirmation of Acceptance for Studies

CIC: the University of Surrey's CAS Issuing Committee

**UKVI: UK Visa and Immigration** 

ATAS: Academic Technology Approval Scheme

FCCO: Foreign, Commonwealth Office

#### 4. Procedural Principles

- 4.1. The Director of UK Recruitment and Admissions is responsible for the management and implementation of this procedure and any accompanying procedures. The Immigration Compliance Team, Admissions Team and International Student Advice Team will also assist in the implementation and management of this procedure.
- 4.2. All University staff working with applicants and/or students requiring a Student Route visa must ensure that this procedure is adhered to.

## 4.3. Student Route Sponsorship and CAS Assignment

- 4.3.1 Applicants requiring a Student visa may not defer their admission to the University; they will be required to re-apply to the University for the relevant academic session.
- 4.3.2. All applicants are required to complete a CAS Request Form and provide all supporting documents requested by the University. Continuing students may be asked for additional documents, including bank statements. Students who are eligible for the 'differentiation arrangements' maintained by the Home Office will still be required to provide the relevant documents to International Student Advice before a CAS will be issued.
- 4.3.3. The Admissions team is responsible for assigning the CAS to applicants starting a new course at

- the University ('applicants').
- 4.3.4. International Student Advice is responsible for assigning the CAS to existing students extending visas to complete their studies with the University ('students').
- 4.3.5. All CAS issued by International Student Advice are presented at the CAS Issuing Committee (CIC) which takes place twice a week.
- 4.3.6. CAS are required to go through the CIC in order to be issued.
- 4.3.7. It is only in extenuating circumstances that a CAS can be issued without the CIC approval, for example when a student's visa expires on the same day or day after.
- 4.3.8. The University will make all reasonable efforts to ensure that unconditional offers of admission are only made to applicants who are likely to be eligible for a CAS statement.
- 4.3.9. However, an offer on a course of study is not a guarantee that the University will issue a CAS. The CAS will only be issued after a full assessment of an applicant's previous UK study, including assessment of progression and assessment of genuine intention to study. The Admissions team may request an immigration history check from the Home Office in some cases.
- 4.3.10. For the avoidance of doubt, the issue of a CAS by the University does not necessarily mean that an applicant's or student's application for a Student visa will be successful. The University shall not be liable if any Student visa applications are refused by UKVI.

## 4.4. The University will only assign a CAS to eligible applicants.

- 4.4.1. CAS can be issued to applicants if they have:
  - An unconditional offer to study; and
  - Firmly accepted that offer; and
  - Have paid any tuition fee deposits where applicable; and
  - Completed the CAS request process satisfactorily; and
  - Provided all requested academic and visa-related documentation
  - Successfully completed a Pre-CAS interview if requested by the Admissions team.
- 4.4.2. The above must be fulfilled early enough for the applicant to start their course. The University will use its discretion when issuing CAS close to the start date of a course, but generally will not issue a CAS less than two weeks before the latest start date of each semester. Some courses require an earlier start date which applicants will be advised of. Research students are required to start their course within one month which will be considered when issuing a CAS.
- 4.4.3. Students will not be issued a CAS if it is too late to return to studies the academic department may be consulted to establish an appropriate timeframe.
- 4.4.4. CAS will not be assigned more than 6 months before the official course start date. For example, for courses commencing in September of any given year, CAS statements will not be issued any earlier than March of that same year. Continuing students will not be issued CAS more than six months before their return date.
- 4.4.5. The University may make any additional checks to ensure it only assigns CAS to applicants who have a realistic prospect of obtaining a Student visa. These checks may include:
  - Conducting a Student Eligibility Check with UKVI;
  - Reviewing financial documents;
  - Requesting previous CAS statements relating to previous periods of stay in the UK with a student visa;

• Any other checks the University feels appropriate in the circumstances.

#### 4.5. The University will <u>not</u> assign CAS in the following:

- 4.5.1. Where the intended course of study is not acceptable in accordance with the Student Route requirements;
- 4.5.2. If there is any reason to believe an applicant or student will not comply with the conditions of their visa if granted (for example, this would include instances where the University is aware that an applicant or student previously worked in breach of their visa conditions, or there is evidence of historic overstaying);
- 4.5.3. If an applicant has submitted documents which are proven, or there is a reasonable suspicion that they are, fraudulent. The University will report such cases to UKVI;
- 4.5.4. If the course length would mean that the applicant or student would exceed any relevant cap on study as outlined by UKVI in their published guidance;
- 4.5.5. Where the University believes assigning a CAS will put its sponsor licence at risk including where there are concerns about the intention to study and overall genuineness;
- 4.5.6. If an applicant or student is unlikely to obtain a visa to commence their studies on time;
- 4.5.7. If the course does not fulfil Academic Progression requirements (as defined in the Student Route guidance) in accordance with part 6 of this policy;
- 4.5.8. Where an applicant or student has a negative immigration history, including a history of refusals in any visa category;
- 4.5.9. If an applicant or student has a history of non-engagement with their studies at the University of Surrey, particularly if the student has been terminated for lack of engagement;
- 4.5.10. If an applicant or student is unable to demonstrate that they meet the Student Route financial requirements. This may include whether the student is currently in debt to the University;
- 4.5.11. If an applicant or student has been involved with terrorist activities;
- 4.5.12. If an applicant or student is intending to apply for Leave to Remain and has overstayed.

  Consideration will be given to applicants and students who are overstaying due to exceptional circumstances for example severe illness meaning that an in-time visa application could not have been submitted, or instances where documents were not available due to unavoidable circumstances including any documents that were previously retained by the Home Office;
- 4.5.13. If a student is applying for an extension of their original Student/Tier 4 visa, but is no longer expected to be actively engaged with integral elements of their programme. For example, for taught students; no longer attending scheduled classes, lectures or taught lab sessions which form part of credit bearing modules. For research students; no longer carrying out research directly related to the project or no longer making use of specialist equipment provided by the university.
- 4.5.14. If a student is applying for an extension of their original Student/Tier 4 visa in order to attend a Viva Voce examination which is beyond their registration period. A student who is taking their Viva Voce examination will be advised to apply for a Visitor Visa in accordance with Home Office guidance;

- 4.5.15. If the applicant does not satisfy the relevant English language requirements, either for visa purposes or academically;
- 4.5.16. Where Academic Technology Approval Scheme ('ATAS') clearance is required, unless the University has obtained a copy of the ATAS certificate.
- 4.6. Before assigning a CAS in accordance with this policy, the University will carry out checks to ensure that an applicant or student applying for Leave to Remain (meaning a visa application made inside the UK) is eligible to extend their visa inside the UK. These checks may include Student Eligibility Checks conducted via UKVI.
- 4.7. If a Leave to Remain application cannot be made, the University will not assign a CAS for an Entry Clearance application (meaning a visa application made outside the UK) until the applicant or student has provided evidence that they are overseas, and able to make a valid visa application in the relevant country (evidence can be in the form of boarding passes, stamps inside the Passport or other reasonably verifiable documents).

#### 4.8. Withdrawal of CAS

- 4.8.1. The University reserves the right to withdraw a CAS for an applicant or student if subsequent information comes to light which shows that a CAS should not have been issued for any of the reasons above, or if there are concerns that the applicant or student is no longer able to meet Student Route requirements. This may include:
  - Issues around genuineness and credibility including those that come to the University's attention at a later stage;
  - Financial reasons;
  - Lack of ATAS, or evidence of incorrect information submitted to the FCO when obtaining ATAS clearance;
  - Other incorrect or invalid information on the CAS that cannot be corrected and requires a new CAS being issued;
  - Where the University believes its sponsor licence would be at risk.

## 4.9. Academic Progression

- 4.9.1. The University will apply the Student Route academic progression rules in all cases where an applicant intends to apply for their student visa from within the UK.
- 4.9.2. Details about how academic progression has been satisfied will always be included on the CAS statement.
- 4.9.3. The University will not normally issue a CAS to an applicant who has failed to successfully complete (meaning achievement of the qualification for which they were studying) a programme of study in the UK unless there are exceptional mitigating circumstances, or an applicant is applying for advanced entry to a University of Surrey undergraduate degree programme. (see section 4.10).
- 4.9.4. Academic progression cases will be considered by a panel. If the panel does not consider that academic progression can be justified, then the University will not issue a CAS. Further information in the form of a progression statement may be requested from the applicant or student.
- 4.9.5. Academic Progression Panel membership: Visa Compliance Manager, Head of Student Records and Data, Faculty Student Administration Managers, Admissions Managers.

#### 4.10. Mid-Course Programme Transfers for Applicants

- 4.10.1. The University will consider issuing a CAS to applicants who wish to transfer part-way through a degree programme at a different UK institution provided the following criteria are met in addition to the usual conditions for a CAS to be issued:
- 4.10.2. The applicant satisfies all Admissions criteria for advanced entry to a degree programme.
- 4.10.3. Confirmation is received from the applicant that they will make their application for a new Student Visa from outside of the UK.
- 4.10.3. The University will not issue a CAS in cases where advanced entry is not possible and the applicant would be required to start the new programme from year 1.

## 4.11. Programme Transfers for Students/Applicants already issued a CAS by the University

- 4.11.1. Applicants/students are generally prohibited from changing courses once a CAS has been used to support a visa application (this may include adding or dropping a placement year or integrated Master's year).
- 4.11.2. Where an applicant/student requests to change course, they will be required to submit a supporting statement and this will be considered by a panel as noted in 4.9.4
- 4.11.3. Transfers will only be approved if they are permissible within the current version of the Immigration Rules and/or Student Route Policy and Sponsor Guidance.
- 4.11.4. Transfers will not normally be approved unless the new course represents academic progression from the course for which the CAS was originally assigned and the new course can be completed within the time remaining on the existing visa/CAS.

#### 4.12. Visa Refusals

- 4.12.1 The University will only consider assigning one additional CAS following a visa refusal and only if the applicant or student can provide evidence to show there is minimal or no chance of their visa being refused a subsequent time, in addition to all pages of their refusal notice.
- 4.12.2. Further CAS will not normally be assigned where an applicant or student has received a refusal due to failing a credibility interview for any current or future studies at the University of Surrey unless under exceptional circumstances.
- 4.12.3. The University is required to report all refusals to the Home Office in line with Home Office procedures.
- 4.12.4 Any applicant or student with a visa refusal must provide all pages of their Home Office refusal notice to the University regardless of whether they wish to be issued with a subsequent CAS.
- 4.12.5. Providing the applicant or student meets the conditions required to be issued with a CAS, the University will issue one additional CAS following a visa refusal. If a visa is refused a second time the University will not issue a further CAS for any current or future studies at the University of Surrey. The University will only consider issuing a third CAS in exceptional circumstances and providing further assurance is obtained.
- 4.12.6. The University reserves the right to not issue a CAS to any applicant or student who has had a previous visa application refused if we believe that there is a risk that any subsequent application will also be refused.

#### 4.13. Pre-sessional English Language Courses

4.13.1. In line with the Student Route Sponsor Guidance, the University will issue a single CAS for the Pre-

sessional 11 week English Language programme (PS11) and the main course of study where an applicant:

- Holds an unconditional offer for PS11;
- Has a valid Secure English Language Test at minimum B1 level in each of the 4 components
  of the test that is accepted by the University of Surrey;
- Holds an offer for a degree programme at the University of Surrey starting in September;
- Has met all other conditions of offer for the degree programme, by the given deadline, except for English language;
- Has paid their deposit for PS11;
- Has paid their deposit or provided satisfactory evidence of sponsorship for their main degree programme (where this is at PG level), or chosen the University of Surrey as their 'Firm' choice through UCAS (where this is at UG level)
- Meets the conditions of this procedure;
- Has satisfactorily completed the CAS Request Form relating to the main programme of study.
- 4.13.2. Should an applicant fail to successfully complete PS11, they will be rejected for the degree programme and sponsorship of their visa will be withdrawn.
- 4.13.3. In line with the Student Route Sponsor Guidance, the University will issue a single CAS for the Presessional 8 week English Language programme (PS8) and the main course of study where an applicant:
  - Holds an unconditional offer for PS8;
  - Has a valid Secure English Language Test at minimum B1 level in each of the 4 components of the test that is accepted by the University of Surrey;
  - Holds an offer for a degree programme at Surrey starting in September;
  - Has met all other conditions of offer for the degree programme, by the given deadline, except for English language;
  - Has paid their deposit for PS8, and
  - Has paid their deposit or provided satisfactory evidence of sponsorship for their main degree programme (where this is at PG level), or chosen the University of Surrey as their 'Firm' choice through UCAS (where this is at UG level);
  - Meets the conditions of this procedure;
  - Has satisfactorily completed the CAS Request Form relating to the main course of study.
- 4.13.4. Should an applicant fail to successfully complete PS8, they will be rejected for the degree programme and sponsorship of their visa will be withdrawn.
- 4.13.5. In line with the Student Route Sponsor Guidance, the University will issue a single CAS to cover the Pre-sessional 5 week English Language programme (PS5) and the main course of study where the applicant;
  - Holds an unconditional offer for PS5;
  - Has a valid IELTS, TOEFL or Pearson Test of English at a minimum of B2 level in each of the 4
    components of the test or has a valid Secure English Language Test at minimum B1 level in
    each of the 4 components of the test that is accepted by the University of Surrey;
  - Holds an offer for a degree programme at Surrey starting in September;
  - Has met all other conditions of offer for the degree programme, by the given deadline, except for English language;
  - Has paid their deposit for PS5;
  - Has paid their deposit or provided satisfactory evidence of sponsorship for their main degree programme (where this is at PG level), or chosen the University of Surrey as their 'Firm' choice through UCAS (where this is at UG level)

- Meets the conditions of this procedure;
- Has satisfactorily completed the CAS Request Form relating to the main programme of study.
- 4.13.6. Where a PS5 applicant meets the above criteria as stated in 9.5 with a valid IELTS, TOEFL or Pearson Test of English at a minimum of B2 in each component, the offer for the degree programme will be updated to unconditional. Students are expected to successfully complete the Pre-sessional programme in order to progress to the degree course.
- 4.13.7. Where a PS5 applicant meets the above criteria as stated in 9.5 with a Secure English Language Test with components below B2 (minimum of B1), the offer for the degree programme will remain conditional until successful completion of the PS5 is confirmed. Should an applicant fail to successfully complete PS5, they will be rejected for the degree programme and sponsorship of their visa will be withdrawn.
- 4.13.8. In all other cases, providing the criteria stated in paragraph 4.1 have been met, a separate CAS will be issued for the pre-sessional course and a new CAS will be assigned for the main course when the student successfully completes the pre-sessional course, meets all conditions of their offer on the main course of study, and meets the conditions of this procedure

#### 4.14. Terms and Conditions of Student Route Sponsorship

4.14.1. All students sponsored by the University are expected to abide by the University's Institutional Procedures on students who are subject to Immigration Control. The University reserves the right to withdraw sponsorship at its discretion.

## **5.0 Governance Requirements**

#### 5.1. Implementation: Communication Plan

This procedure will be made publicly available on the <u>Univeristy website</u>. There are no requirements to communicate this further.

#### 5.2. Implementation: Training Plan

All staff involved with the issuing of CAS will be given appropriate and thorough on-the-job training in the Home Office framework and technically how to issue a CAS for applicants and students.

#### 5.3. Review

5.3.1. This Procedure is subject to a review every 3 years, or sooner as per Home Office/ Immigration guidance changes.

#### 5.4. Legislative Context and Higher Education Sector Guidance or Requirements

- 5.4.1. Student Sponsor Guidance
- 5.4.2. ATAS approval scheme
- 5.4.3. <u>Immigration Rules</u>
- 5.4.4. Student and Child Student

#### 5.5. Sustainability

N/A

# 6. Stakeholder Engagement and Equality Impact Assessment

For all procedures, it is mandatory to:

- complete an Equality Impact Assessment, and
- to receive sign off from the following stakeholders:
  - o Governance, contactable via <a href="mailto:secretariat@surrey.ac.uk">secretariat@surrey.ac.uk</a> .
  - o Health and Safety (H&S) via <a href="mailto:safety@surrey.ac.uk">safety@surrey.ac.uk</a>.
  - o Sustainability via <a href="mailto:sustainability@surrey.ac.uk">sustainability@surrey.ac.uk</a>.
  - o Academic Freedom of Speech via <u>a.bradbeer@surrey.ac.uk</u>
- 6.1. An Equality Impact Assessment was completed on 20/11/2024 and is held by the Authorised Coordinator.
- 6.2. Stakeholder Consultation was completed, as follows:

Stakeholder	Nature of Engagement	Request EB Approval (Y/N)	Date	Name of Contact
Governance	Draft procedure for review		21/11/24	Kelley Padley, Governance Officer
H&S	Review of procedure in POPP format		10.12.2024	Matthew Purcell
Sustainability	Review of procedure in POPP format		11.12.2024	Martin Wiles (Head of Sustainability)
Academic Freedom of Speech	Review of procedure in POPP format		22/11/24	Abi Bradbeer, AFFE Project Manager