Introduction and scope
1. For the purposes of this Code of practice academic governance can be defined as the structures, policies and processes that are in place to assure the setting and maintaining of academic standards and the quality of the student experience. This Code of practice sets out how the University’s academic governance works in relation to structure, function and operation. It is informed by the OfS Regulatory Framework (including the ongoing conditions of registration) and the Quality Assurance Agency Quality Code for Higher Education.

Academic governance - structure
2. Senate is the governing body of the University for all academic matters. It is supported by other committees¹ and a sub-structure below that extends to Faculty and School/Department level. A diagram of the committee structure is shown on the following page:

Senate committees
- University Education Committee (UEC)
- University Research and Innovation Committee (URIC)
- Senate Progression and Conferment Executive (SPACE)

UEC Sub-committees
- Quality Enhancement Sub-committee
- Student Success Sub-committee

URIC Sub-committees
- Doctoral College Board
- Research Integrity and Governance Committee

Faculty committees
- Faculty Education Committees (FECs)
- Faculty Extraordinary Board of Studies
- Faculty Research Degrees Committees (FRDCs)

School/Department committees
- Boards of Studies
  - Boards of Examiners
  - Student/Staff Liaison Committees
- Postgraduate Research Student Engagement Fora

¹ The Ethics Committee, the Academic Promotions Committee and the Honorary Degrees Committee also report to Senate but their operation is outside the scope of this Code of practice.
3. In addition to Senate and its sub-structure the Access and Participation Advisory Group has a dual management and academic governance function and has a dotted reporting line to UEC in respect of the latter. In management terms the Advisory Group reports to the Access and Participation Governance Panel.

Academic governance - function

4. The Charter is the overall instrument of governance of the University and sets the University's objects in the pursuit of learning and the advancement and dissemination of knowledge. The Statutes and Ordinances provide detailed information about the running of the University in terms of its governance. They present information on the roles and responsibilities of the officers of the University, including the Chancellor, the Vice-Chancellor and, also the core statutory bodies and committees.

5. The academic governance structure is responsible for the consideration and approval of academic-related strategies, Regulations, Codes of practice, policy statements and related information and committee terms of reference and membership. Strategies set out the high level approach to achieving a particular set of aims. Regulations provide the framework under which the academic activities of the University are carried out. They are structured into two areas: academic regulations which govern programmes of study, and student regulations which govern student behaviour and procedures for student complaints and appeals. Policy statements clarify the University’s position on a particular matter, often prior to developing a Code of practice. Codes of practice set out policy and provide operational advice on how a particular process is carried out. Terms of reference and membership describe the activities of a committee, the limits of its authority and those who attend the committee in a particular capacity.

6. The following table sets out the levels of authority for the approval of the various types of documents.
### Code of practice for academic governance

<table>
<thead>
<tr>
<th>Type of document</th>
<th>Considered by²</th>
<th>Approved by</th>
</tr>
</thead>
<tbody>
<tr>
<td>New strategy</td>
<td>UEC / URIC</td>
<td>Senate</td>
</tr>
<tr>
<td>Amendments to existing strategies</td>
<td>UEC / URIC</td>
<td>UEC and/or URIC – Senate informed of amendments</td>
</tr>
<tr>
<td>Regulations – new and amended</td>
<td>UEC / Doctoral College Board</td>
<td>Senate</td>
</tr>
<tr>
<td>Codes of practice</td>
<td>UEC and its sub-committee(s) Senator College Board</td>
<td>UEC and/or URIC – Senate informed of new Codes</td>
</tr>
<tr>
<td>Policy statements</td>
<td>UEC / URIC</td>
<td>Senate</td>
</tr>
<tr>
<td>Amendments to terms of reference and membership – UEC and URIC</td>
<td>UEC and URIC</td>
<td>Senate</td>
</tr>
<tr>
<td>Amendments to terms of reference – UEC sub-committee</td>
<td>Relevant sub-committee</td>
<td>UEC</td>
</tr>
<tr>
<td>Amendments to terms of reference – URIC sub-committee</td>
<td>Relevant sub-committee</td>
<td>URIC</td>
</tr>
</tbody>
</table>

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**Academic governance - operation**

7. Senate’s mode of operation and membership are prescribed in the **University Ordinances**. Within its terms of reference Senate takes oversight of academic matters but delegates considerable responsibility for detailed consideration of policy issues and the management of quality assurance to its sub-committees.

8. The terms of reference and membership for academic governance committees are set out in the Appendices below. Students are represented on all levels of committees in the structure (with, the exception of those dealing with examination matters and research management and governance). Further information on student involvement in academic governance and quality assurance is given in the Code of practice for student engagement.

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**The role of Chair**

9. For senior academic staff the chairing of University-level committees is an expectation of the role. It is normally the case that UEC and URIC are chaired by the relevant Pro Vice-Chancellor. In their role as chair they appoint the chairs of the committee’s sub-committees. The chairing of Faculty Education and Research Degrees Committees is a specification in the role descriptors for the Associate Dean (Education) and the Associate Dean (Doctoral College) respectively. Staff who chair Boards of Examiners and Boards of Studies are provided with appropriate briefing by the University in support of those roles. In the absence of the Chair, a nominated Vice-Chair assumes the role of Chair.

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² Depending on the subject, documents are considered by either UEC or URIC/Doctoral College Board or all.
Appendix 1 - University Education Committee

Terms of reference

General

The Education Committee has oversight of educational strategies and policies and reviews the University’s performance against its aims and objectives. The Committee considers external and internal factors that will enhance or limit the University’s ability to deliver high quality education and student experience.

Specific

1. To review and advise on external trends and influences in education, learning and teaching and student support.

2. To develop and oversee the implementation of strategies for learning, teaching and assessment, in alignment with the overall University strategy defined by the Executive Board.

3. To promote innovation in learning, teaching, assessment and wider aspects of student support.

4. To develop and oversee the implementation of the University’s regulatory and quality framework in respect of taught programmes, making revisions as required on an annual basis and to ensure that the framework takes account of sector requirements.

5. To develop and oversee the implementation of University policies and practices to enhance the quality of the student learning experience.

6. To review and monitor key performance indicators of the quality of academic standards and the student experience and determine any necessary interventions.

7. To oversee the University’s strategy for access and participation including the Access and Participation Plan and in response to sector guidance.

8. To oversee the University’s submission to the Teaching Excellence Framework (TEF) or similar national exercises.

9. To establish as required, sub-committees, fora and task and finish groups to conduct particular areas of business within the remit of the Committee and to receive regular reports on these activities.

10. To report to each meeting of Senate on activities within its area of responsibility.

Membership

Chair
Pro Vice-Chancellor, Education

Vice-Chair
Associate Dean (Education) as nominated by the Pro Vice-Chancellor (Education)

Ex-officio members
• Associate Dean (Education) FASS
Nominated members
One from each Faculty, nominated by the Faculty Executive.

In attendance
Member of staff from Quality Enhancement and Standards (to act as Committee Secretary)

The Committee can co-opt additional members as required for specific purposes.

Period of appointment
Ex-officio members remain as members of the Committee for the term of their appointment in their role.

Nominated members shall serve an initial term of two years and shall be eligible for re-election for one further year. In any event a maximum of three years may be served.

Co-opted members remain as members for as long as their purpose on the Committee is required.

Operation
Quoracy
The quoracy requirements shall be half of the current members. In the case of an equal vote, the Chair shall have a casting vote.

Frequency of meetings
Meetings are held four times a year. Exceptional meetings may be held at the discretion of the Chair.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.
The date of the decision shall be the date upon which the Secretary confirms to all members that it has been passed. The Secretary shall be responsible for ensuring that decisions made by email are reported to the next meeting and for retaining an appropriate record.

**Reports to**
Senate

**Sub-structure**
Quality Enhancement Sub-committee
Student Success Sub-committee
Appendix 2 - Quality Enhancement Sub-committee

Terms of reference

1. To support the implementation of the University’s Education Strategy through consideration of matters relating to quality assurance, enhancement and standards and to make recommendations as necessary to the University Education Committee (UEC).

2. To be responsible to UEC for overseeing curriculum design activity and the evaluation and development of the validation and periodic enhancement processes for all award-bearing programmes of study delivered by the University and its Associated and Accredited Institutions and to report to UEC on good practice identified and key issues arising with suggestions for action.

3. To be responsible to UEC for the oversight, evaluation and development of the annual programme review (APR) process, to include consideration of Faculty overview of APR reports and to report to UEC on good practice identified and key issues arising with suggestions for action.

4. To review, monitor and report to UEC on the quality of the educational experience, using as appropriate, national benchmarks such as the NSS, PTES and i-graduate, and internal benchmarks such as MEQs.

5. To consider matters raised by external examiners and report to UEC on good practice identified and key issues arising with suggestions for action.

6. To receive and consider reports on Professional, Statutory and Regulatory Body accreditations and to report to UEC on good practice identified and key issues arising with suggestions for action.

7. To consider proposals for amendments to the Regulations and Codes of Practice relating to quality processes, learning and teaching and to make recommendations for change as necessary to UEC.

8. To review outcomes from appeals and complaints processes and identify key issues and good practice for further consideration at the appropriate level.

9. To consider proposals for medium and high risk collaborative provision activity and to make recommendations for approval to UEC, where necessary.

10. To consider the annual reports from the University’s Accredited and Associated Institutions and to report to UEC on good practice identified and key issues arising with suggestions for action.

11. To regularly report to UEC on the work of the Sub-committee.

12. The Sub-committee may devolve any specific functions to a member or group of members.
Membership

Chair
An Associate Dean (Education) as appointed by the Pro Vice-Chancellor, Education

Vice-Chair
Academic Registrar

Ex-officio members
- Vice-President Voice, Students’ Union
- Head of Quality Enhancement and Standards
- Head of OSCAR
- Director of the Surrey Institute of Education or nominee
- Head of Educational Development and Research or nominee
- Head of Digital Learning or nominee
- Head of Teaching Performance

Nominated members
- Two Faculty representatives, FASS
- Two Faculty representatives, FEPS
- Two Faculty representatives, FHMS

In attendance
A member of staff from Quality Enhancement and Standards (to act as Committee Secretary)

The Sub-committee can co-opt additional members as required for specific purposes.

Period of appointment
Ex-officio members remain as members of the Sub-committee for the term of their appointment in their role.

Nominated members are appointed for three years and are eligible for re-nomination for one further year. In any event a maximum of four years may be served.

Co-opted members remain as members as long as their purpose on the Sub-committee is required.

Operation

Quoracy
The quoracy requirements shall be half of the current members. In the case of an equal vote, the Chair shall have a casting vote.

Frequency of meetings
Meetings are held at least four times a year or more frequently as business dictates.

Decision-making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation
of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
University Education Committee
Appendix 3 - Student Success Sub-committee

Terms of reference

1. To advise the University Education Committee (UEC) on all matters related to the student experience.

2. To give strategic leadership to the student experience in areas including access, progression, student attainment and outcomes.

3. To be responsible to UEC for the ongoing development of support for students in areas including wellbeing and welfare, learning resources and employability.

4. To review, monitor and report to UEC on the quality of the student experience, using as appropriate, national benchmarks such as the NSS, PTES and i-graduate, and internal benchmarks such as MEQs.

5. To consider proposals for amendments to the Regulations and Codes of Practice relating to student support and the student experience and to make recommendations for change as necessary to UEC.

6. To review, monitor and report to UEC the effectiveness of student services as a mechanism of enhancing and supporting the student experience.

7. To prepare regular reports on the work and progress of the Sub-committee to UEC.

Membership

Chair
Chief Student Officer

Vice-Chair
Associate Dean (Education) as nominated by the Chief Student Officer

Ex-officio members

- Head of Student Experience
- President, Students’ Union
- Vice-President Voice, Students’ Union
- Vice-President Support, Students’ Union
- Deputy Director of Estates or nominee
- Head of Digital Learning or nominee
- Head of Learning Development
- Director of Service Design, IT Services
- Chief Executive Officer, Surrey Sports Park
- Head of Wellbeing and Welfare
- Head of Employability and Careers
- Head of Faculty Student Services
- Head of Europe and International Mobility, Global Engagement Office
- Director of Marketing and Communications or nominee

Nominated members

- Faculty representative, FASS
- Faculty representative, FEPS
- Faculty representative, FHMS
- Doctoral College representative
• A student course representative from each Faculty nominated by the Students’ Union through a competitive process
• 1-2 student mentors

In attendance
A member of staff from Quality Enhancement and Standards (to act as Committee Secretary)

The Sub-committee can co-opt additional members as required for specific purposes.

Period of appointment
Ex-officio members remain as members of the Sub-committee for the term of their appointment in their role.

Nominated members are appointed for three years and are eligible for re-nomination for one further year. In any event a maximum of four years may be served. Appointments for student representatives may vary based on the terms of selection for representative positions.

Co-opted members remain as members for as long as their purpose on the Sub-committee is required.

Operation

Quoracy
The quoracy requirements shall be half of the current members. In the case of an equal vote, the Chair shall have a casting vote.

Frequency of meetings
Meetings are held at least four times a year or more frequently as business dictates.

Decision-making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
University Education Committee
Appendix 4 – Senate Progression and Conferment Executive

Terms of reference

1. To receive final award marks and award classifications for taught degrees, diplomas and certificates as recommended by Boards of Examiners.

2. To approve on behalf of the Senate, the award of degrees diplomas and certificates attained on completion of taught programmes of study.

3. To receive the outcome of viva voce examinations for research degrees as recommend by Research Examination Panels.

4. To approve on behalf of the Senate the award of degrees attained on completion of research programmes of study.

5. To monitor academic standards and compliance of Boards of Examiners and Research Examination Panels with the Regulations and Codes of practice and within the spirit of equity, consistency and transparency through the consideration of data on student achievement, progression and award.

6. To report on the outcomes of the consideration of such data and any recommended actions to the Senate and the University Research and Innovation Committee or University Education Committee.

7. To consider and approve proposals from Boards of Examiners on any action taken to remedy anomalous mark distributions in accordance with the Code of practice for assessment and feedback.

8. To take action if deemed necessary, in consultation with the Chair of the relevant Board of Examiners and the external examiner(s), to remedy anomalous mark distributions in accordance with the Code of practice for assessment and feedback if such action has not previously been taken by Boards of Examiners.

9. To receive annual reports from Boards of Examiners on the outcomes of Academic Misconduct Panels and from investigations into allegations of research misconduct where they pertain to postgraduate research students

10. To receive notification from Faculties of the award of prizes.

11. In consideration of any of the terms of reference, the Board may delegate any of its functions to the Chair or a sub-group to undertake on its behalf.

Membership

Chair
Pro Vice-Chancellor, Education

Vice-Chair
Pro Vice-Chancellor (Research and Innovation)

Ex-officio members
• Associate Dean (Education) FASS
• Associate Dean (Education) FEPS
• Associate Dean (Education) FHMS
• Associate Dean (Doctoral College) FASS *
• Associate Dean (Doctoral College) FEPS *
• Associate Dean (Doctoral College) FHMS *
• Academic Registrar
• Head of Assessment and Awards
• Head of Quality Enhancement and Standards

*Associate Deans (Doctoral College) are only required to attend those meetings where postgraduate research matters are considered

In attendance
• Awards Manager (Committee Secretary)
• Chief Student Officer
• Assessment Managers
• Research Degrees Manager
• Head of Teaching Performance
• Representatives from the Associated and Accredited Institutions (one per institution)

Associate Deans can send an alternate to the meeting if they cannot attend, the alternate to be a member of academic staff from the Faculty. Alternates will have full voting rights.

Period of appointment
All members are ex-officio and remain as members of the Board for the term of their appointment in their role.

Operation

Quoracy
The quoracy requirements shall be half of the current members. In the case of an equal vote, the Chair shall have a casting vote. The Associate Deans (Doctoral College) do not count towards quoracy requirements at the meetings they are not required to attend.

Frequency of meetings
Meetings are held at least four times a year. Exceptional meetings may be held at the discretion of the Chair.

Decision making outside the meeting
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
Senate

Sub-structure
Boards of Examiners (for taught awards)
Doctoral Awards Examination Panels (for postgraduate research awards)
Appendix 5 - University Research and Innovation Committee

Terms of reference

General

The Research & Innovation Committee has oversight of research & innovation strategies and policies and reviews and advises on the University’s performance against its research & innovation aims and objectives. The Committee considers external and internal factors that will enhance or limit the University’s ability to deliver high quality and impactful research & innovation.

Specific

1. To review and advise on strategies and policies supporting the delivery of high quality and impactful research & innovation, in alignment with the overall University Corporate Strategy as endorsed by the Executive Board.

2. To review research & innovation performance against the aims and objectives of the Research & Innovation Strategic Plan and advise on strategies and policies for its improvement.

3. To oversee the University’s performance in the Research Excellence Framework (REF), Knowledge Excellence Framework (KEF), or similar national or international assessment and ranking exercises.

4. To review and advise on external trends and influences on research & innovation.

5. To review and advise on the engagement of the University’s research & innovation with key stakeholders, such as major funders, collaborators, companies and benefactors.

6. To review and advise on the generation and exploitation of Intellectual Property and Technology Transfer in general, on Knowledge Transfer and Enterprise and on other activities relating to innovation.

7. To consider and advise on the University’s research & innovation support mechanisms and resources to ensure their effectiveness, including but not limited to the Doctoral College, Research & Innovation Services, Research Finance, and the Surrey Research Park.

8. To establish, as required, sub-committees, fora and task-and-finish groups to conduct particular areas of business within the remit of the Committee and to receive regular reports on these activities.

Membership

Chair
Pro Vice-Chancellor, Research & Innovation

Ex-officio members
- Associate Dean, Research & Innovation (FASS)
- Associate Dean, Research & Innovation (FEPS)
- Associate Dean, Research & Innovation (FHMS)
Code of practice for academic governance

- Dean International
- Chair of the University Ethics Committee
- Director of the Doctoral College
- Director of Innovation Strategy
- Director of Research and Innovation Services
- Director of Research Strategy
- Director of Surrey Research Park
- Head of Research Finance
- Head of Research Performance (REF)
- Research Theme Leaders
- Academic Lead for Research Culture and Integrity
- Director of Library and Learning Support Services
- VP Voice, Students' Union

Nominated members
One postgraduate research student representative nominated by the Students' Union
One representative of Surrey Early Career Researchers nominated by the Doctoral College

In attendance
Secretary to the Committee

The Committee can co-opt internal members as required for specific purposes.

Period of appointment
Ex-officio members remain a Member of the University Research & Innovation Committee for the term of their appointments.

Nominated members shall serve an initial term of up to two years and shall be eligible for re-election for one further year. In any event, a maximum of three years in total may be served. Appointments for student representatives may vary based on the terms of selection for representative positions.

Co-opted members will only remain as members for as long as their participation is required.

Operation

Quoracy
The quorum for the Research & Innovation Committee shall be half of current members. In the case of an equal vote, the Chair shall have a casting vote.

Frequency of meetings
Four meetings per academic year. Exceptional meetings may be held at the discretion of the Chair.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been
passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

The date of the decision shall be the date upon which the Secretary confirms to all members that it has been passed. The Secretary shall be responsible for ensuring that decisions made by email are reported to the next meeting and for retaining an appropriate record.

Reports to
Senate

Sub-structure
Doctoral College Board
Research Integrity and Governance Committee
Appendix 6 – Doctoral College Board

Terms of reference

General

The Doctoral College Board is responsible for developing policies and implementation strategies that will support the Doctoral College in meeting its objectives. The Doctoral College Board will oversee key aspects of the postgraduate researcher and early career researcher experience with a particular focus on building a cohesive community and research environment, providing first class training, facilitating excellent supervision, and enhancing employability skills.

Specific

1. To review and advise on the content and implementation of the Doctoral College’s action plan to ensure it aligns with strategic objectives.

2. To consider and formulate University policy and strategy relating to the quality assurance and enhancement of postgraduate research degree provision ensuring that it is aligned with external expectations and aspires to best-in-class practices. In particular this includes admission procedures; training and development frameworks; expectations for supervision; progression monitoring; assessment procedures; and academic and pastoral support structures.

3. To develop strategies to integrate doctoral and early career researchers into the research environment and facilitate the development of a community of researchers.

4. To develop frameworks to support the enhancement of doctoral and early career researchers’ employability skills ensuring that these are aligned to external expectations and are informed by researchers’ needs.

5. To champion the interests of postgraduate researchers and early career researchers throughout the University.

6. To consider outcomes of postgraduate researcher and early career researcher feedback by ensuring that appropriate action plans are in place to align provision with researchers’ needs.

7. To bring in best practice from the sector through engagement with relevant interest groups and funders.

8. To develop the external-facing profile of the University’s doctoral and early career researcher training with key stakeholders including, but not restricted to, the key funders.

9. To refer matters to the University’s Research and Innovation Committee when its input or approval is required.

10. To receive and consider reports on the Doctoral College’s key performance indicators.

11. To receive and consider reports and recommendations from sub-committees.
Membership

Chair
Director of the Doctoral College

Ex-officio members
- Associate Dean (Doctoral College) FASS
- Associate Dean (Doctoral College) FEPS
- Associate Dean (Doctoral College) FHMS
- Chief Student Officer
- Academic Registrar
- Doctoral College Manager
- Head of Library and Learning Support Services
- Head of Researcher Development Programme
- Director of People, Culture and Inclusion
- Research Degrees Manager
- Vice-President Voice, Students’ Union

Nominated members
- One postgraduate research student representative nominated by the Students’ Union
- Early Career Researcher nominated by the Doctoral College

In attendance
Secretary to the Board

The Board can co-opt additional members as required for specific purposes.

Period of appointment
Ex-officio members remain as members of the Board for the term of their appointment in their role.

Nominated members shall normally serve an initial term of two years and are normally eligible for re-election for one further year. In any event a maximum of three years in total may be served. Appointments for student representatives may vary based on the terms of selection for representative positions.

Co-opted members remain as members for as long as their purpose on the Board is required.

Operation

Quoracy
The quoracy requirements shall be half of current members. In the case of an equal vote, the Chair shall have a casting vote.

Frequency of meetings
Meetings are held four times a year, one every three months. Exceptional meetings may be held at the discretion of the Chair.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.
In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
University Research & Innovation Committee
Appendix 7 – Research Integrity and Governance Committee

Terms of reference

General

The Research Integrity and Governance Committee (RIGC) is responsible for overseeing all aspects of research integrity and governance at the University of Surrey. The committee aims to foster a culture of excellence in research and to ensure that research conducted under the auspices of the University is carried out to the highest standards of rigour and integrity and within a framework of its institutional values. The committee directs the development and implementation of policies and procedures to ensure compliance with regulatory, governance and research integrity frameworks including, but not limited to, the following areas:

- Animals (Scientific Procedures) Act (ASPA)
- Export Control Legislation (including dual-use)
- Human Tissue Act (HTA)
- International research governance frameworks including GCRF due diligence
- Medical Devices Regulations
- Medicines for Human Use (Clinical Trials) Regulations
- Nagoya Protocol
- Non-ASPA research
- Research Data Management including compliance with Data Protection Legislation
- UK Code of conduct for data-driven health and care technology
- UK Policy Framework for Health and Social Care
- UKRI Policy and Guidelines on Governance of Good Research Conduct
- UUK Concordat to Support Research Integrity

Specific

1. Policy creation, review and approval, as necessary, to account for developments in research integrity and governance within the UK higher education research sector, subject to appropriate consultation.

2. Oversee the correct implementation of research integrity and governance policies through mechanisms that demonstrate to stakeholders that the University of Surrey is compliant with regulatory and governance frameworks. Approve, subject to appropriate consultation, related operational procedures.

3. Ensure the University of Surrey has robust mechanisms in place to meet the obligations set out in the Concordat to Support Research Integrity.

4. Oversee the operation of the University Animal Welfare and Ethical Review Body (AWERB) and the University Ethics Committee (UEC) Steering Group.

5. To ensure that research integrity and governance policies and procedures are being effectively communicated to the academic research community.

6. Oversight of and responsibility for ensuring allegations of research misconduct and breaches of governance procedures are handled appropriately and in-line with funders terms and conditions, the Concordat and any relevant regulatory requirements and where necessary, to refer to the Secretariat or Provost’s Office.
7. To refer to the UEC steering group, Secretariat or Provost's Office any research ethics-related matters which may have wider implications for institutional-level ethical policies or processes or which the committee considers should be brought to the attention of the UEC steering group, Secretariat or Provost's Office.

8. To provide advice and guidance to the University Research and Innovation Committee (URIC) on issues relating to research integrity and governance that may impact on the University.

9. To receive, review and approve an annual research integrity statement from the Research Integrity and Governance Office (RIGO) for publication on the University’s external webpage.

Membership

Chair
Pro Vice-Chancellor, Research & Innovation

Vice-Chair
Associate Dean of Research & Innovation (ADRI) - rotating annually between ADRIs

Ex-officio members
- Associate Deans of Research and Innovation (ADRI)s Deputy Chair
- Director of Doctoral College
- Director of Clinical Trials Unit (CTU)/Clinical Research Facility (CRF)
- Director of Health and Safety
- Chief Information and Digital Officer
- Head of Research Integrity and Governance (RIS)
- Head of Legal Contracts (RIS)
- Head of Pre-awards
- Chair of University Ethics Committee (human, non-clinical)
- Chair of AWERB (animal)
- Academic Lead for Research Culture and Integrity
- Open Research Manager (Library and Learning Support Services)
- Head of Research Finance
- Data Protection Officer
- Hub Person Designate (PD) for HTA licence

Nominated members
Early Career Researcher (ECR) representative nominated by the Doctoral College
Postgraduate research student representative nominated by the Students' Union

In attendance
Secretary to the Committee (provided by RIS)

The Committee can co-opt additional members as required for specific purposes.

Period of appointment
Ex-officio members remain a Member of the Research Integrity and Governance Committee (RIGC) for the term of their appointments.

Nominated members shall serve an initial term of up to two years and shall be eligible for re-nomination for one further year. In any event, a maximum of three years in total may be served. Appointments for student representatives may vary based on the terms of selection for representative positions.
Co-opted members will only remain as members for as long as their participation is required.

**Operation**

**Quoracy**
The Committee will be considered quorate with half of current members, to include the Chair and at least one ADRI (this may be in the capacity of Vice-Chair), one other academic and one member of RIS. If the Committee is not quorate any decisions should be ratified at the next meeting or through email communication.

**Frequency of meetings**
RIGC will meet 3 times per year: September, February and June.

**Decision making outside of meetings**
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

**Reports to**
University Research & Innovation Committee
Appendix 8 - Admission, Progression and Examination Sub-committee

Terms of reference

1. To monitor the progression of postgraduate researchers through their programme by reviewing summary reports of PGR progression at the six-monthly review points.

2. To consider requests for changes to registration status including: changes of mode of attendance; changes to continuing status; temporary withdrawal; and extensions;

3. To consider and approve nominations for examination panels of the final viva voce examination;

4. To consider requests for the termination of postgraduate researchers’ registration;

5. To take action where concerns have been raised through reports or other means.

Membership

Chair
Doctoral College Manager

Ex-officio members
• Associate Dean (Doctoral College) FASS
• Associate Dean (Doctoral College) FEPS
• Associate Dean (Doctoral College) FHMS
• Research Degrees Manager (Committee Secretary)
• Senior Research Degrees Administrative Officers

In attendance
• Visa Compliance Manager
• Senior Administrative Officer (Student Records)

The Committee can co-opt additional members as required for specific purposes.

Period of appointment
Ex-officio members remain as members of the Committee for the term of their appointment in their role.

Co-opted members remain as members for as long as their purpose on the Committee is required.

Operation

Quoracy
The quoracy requirements shall be not less than half of the current members.

Frequency of meetings
Meetings are held once a month or more frequently as business dictates.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.
At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
Doctoral College Board
Appendix 9 - Faculty Education Committees

Terms of reference

1. Within the context of the University policy and strategy, to consider and formulate a strategy for the Faculty relating to the development, evaluation and enhancement of learning and teaching at undergraduate and taught postgraduate levels; this will include teaching processes, learning support, assessment, research, innovations, facilities, resources and professional development for Faculty staff.

2. To oversee and ensure the implementation within the Faculty of the University's Regulations and Codes of practice and other University policies, guidelines and initiatives.

3. To recommend to the appropriate bodies on an annual basis the Faculty priorities and targets in learning and teaching and monitor progress towards these, ensuring that Faculty priorities are operationalised at Departmental/School level.

4. To make recommendations to the appropriate bodies about the refreshment and development of the portfolio of programmes, including methods of delivery, in consultation with relevant areas of the University and scrutinise proposals for new programmes.

5. To receive and comment upon annual programme reviews and prepare the Faculty consolidated annual programme review (APR) overview report on programmes and associated action plans for submission to the Quality Enhancement Sub-committee and University Education Committee (UEC).

6. To monitor and prepare action plans with respect to specific areas of teaching and learning identified by the University such as entry tariff, progression, good degrees, employability, and NSS performance. Reporting on such action plans to be included as part of the annual programme review overview report.

7. To consider and make recommendation as appropriate to the University for revisions to the University policy and strategy for learning and teaching and the Regulations and Codes of practice.

8. To take an overview of student welfare matters and consider and recommend support requirements for particular groups such as mature students, part-time and distance learning students and students with special learning needs and disabilities.

9. To monitor the accuracy of information published internally and externally regarding the Faculty’s undergraduate and taught postgraduate provision, including Unistats data.

10. To monitor the Faculty’s ongoing engagement with Professional, Statutory and Regulatory Bodies (PSRBs) as applicable to its academic provision and to escalate risks to accreditation where these may materialise.

11. To consider and recommend improvements to Faculty-based teaching and learning resources, including computing and experimental laboratories.

12. To consider, recommend and implement activities to evaluate and enhance the quality of teaching and learning provision in the Faculty.
13. To communicate with other bodies that might impinge on the Committee’s work, to ensure optimal and coordinated practice.

14. To establish from time to time as appropriate, working groups to consider and advise on specific issues and, where relevant, to deal with accreditation visits from professional and regulatory bodies.

15. To strengthen links between learning and teaching and research by identifying new opportunities for each to influence and interact with each other.

16. To report and make recommendations to the Faculty Executive Board and other Faculty bodies as appropriate.

**Membership**

**Chair**
Associate Dean (Education)

**Ex-officio members**
- Directors of Learning and Teaching
- One representative from each of the UEC Sub-committees
- One representative from the Surrey Institute of Education
- Faculty Engagement Librarian(s)
- Faculty Director of Employability
- Faculty Student Services Manager
- Widening Participation and Outreach Officer
- Faculty Marketing Officer
- A nominated deputy of the President of the Students’ Union

Executive Deans of Faculty and Faculty Associate Deans may also receive papers and attend meetings.

**In attendance**
Other staff as required

The Committee can co-opt additional members as required for specific purposes or to represent the structures within the Faculty.

**Period of appointment**
Ex-officio members remain as members of the Committee for the term of their appointment in their role.

Co-opted members remain as members for as long as their purpose on the Committee is required.

**Operation**

**Quoracy**
The quoracy requirements shall be ten members or not less than half of the current members, whichever may be the less. In the event of a tied vote, the chair shall have a casting vote.

**Frequency of meetings**
Meetings are held at least three times per academic year or more frequently as business dictates.

**Decision making outside of meetings**
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

**Reports to**
Faculty Policy and Strategy Group/Faculty Management Group
University Education Committee

**Sub-structure**
Boards of Studies
Appendix 10 - Faculty Extraordinary Board of Studies

Normally, for each programme or a cluster of cognate programmes of study at undergraduate and taught postgraduate level and for the taught components of practitioner doctorates, there shall be a Board of Studies. Due to exceptional circumstances (the Covid-19 pandemic), all changes to assessments within the Faculty’s taught provision will be grouped under the extraordinary Faculty-level Board of Studies.

References to the Chair shall be construed as referring to the Vice-Chair in the absence of the Chair.

Terms of reference
1. To monitor the quality of academic standards, quality assurance and quality enhancement of the programme(s) of study within its remit.
2. To consider and approve modifications to modules and programmes in accordance with the relevant University Codes of practice.
3. To receive feedback from students, where applicable and take action as necessary.
4. To consider such matters as may be referred to it by the University Education Committee and report back as appropriate.
5. To ensure that a record of this meeting is taken and kept by the Secretary and any action and/or decisions taken under delegation of functions.
6. A Board of Studies may delegate any of its functions to the Chair, Vice-Chair, an individual or group of members.

Membership

Chair
The Chair would normally be the Faculty Associate Dean (Education)

Vice-Chair
Appointed by the Executive Dean and Pro Vice-Chancellor of Faculty or Faculty Associate Dean (Education)

Ex-officio members
- Head of Schools/Departments
- School/Department Directors of Learning and Teaching
- At least one elected student per level 6 from the programmes reporting to the Board
- President of the Students’ Union or their nominee
- Faculty Engagement Librarian(s)
- Faculty Director of Employability
- Academic Registrar or nominee
- Representatives from professional services areas as determined by the Chair.

In attendance
Academic Registry staff (Secretary)
The Extraordinary Board of Studies can co-opt additional members as required for specific purposes.

Period of appointment

Ex-officio members remain as members for the term of their appointment in their role.

Co-opted members remain as members for as long as their purpose is required.

Operation

Quoracy
The quoracy requirements shall be ten or not less than one half of the members whichever may be the less. Those present shall include the Chair or Vice-Chair.

Frequency of meetings
An Extraordinary Board of Studies shall meet as and when appropriate. The Chair shall be responsible for the arrangement of a meeting(s), at least seven days’ advance notice being given to all members.

Should it prove necessary to vote on any matter, it shall be determined by a simple majority. Each member present shall have one vote and in the case of equality the Chair shall have an additional casting vote.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reserved business
The business of a Board of Studies shall be subject to the University’s convention on reserved business\(^3\). The Chair shall decide whether an item of business should be regarded as reserved business. The Chair’s decision shall be final.

Reports to
University Education Committee

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\(^3\) 'Reserved Business’ may be taken to include matters affecting the personal position of members of staff and students and the admission and academic assessment of individual students. Wherever possible the Chair shall denote in the agenda prior to the meeting those items deemed to be reserved business in accordance with that convention. Where reserved items of business do occur, student representatives should be advised of the general nature of these items and should be advised subsequently of the Board’s decision(s) on them.
Appendix 11 - Faculty Research Degrees Committees

Terms of reference

1. Within the context of the University policy and strategy, to consider and formulate a strategy for the Faculty relating to the development, evaluation and enhancement of research degree provision; this will include admission, training and development, supervision, progression, assessment, researcher support, research environment, innovations, funding opportunities, and facilities and resources.

2. To oversee and ensure the implementation within the Faculty of the University's Regulations and Codes of practice and other University policies, guidelines and initiatives.

3. To make recommendations about the refreshment and development of the portfolio of postgraduate research programmes, including methods of delivery, in consultation with relevant areas of the University.

4. To receive reports from the Postgraduate Research Student Engagement Forum on how postgraduate researchers view their learning experience and to receive the view of supervisors, through Committee representatives, on postgraduate research matters. In so doing, the Committee will take an overview of student welfare matters and consider and recommend support requirements for particular groups such as mature students, part-time and distance learning students and students with special learning needs and disabilities.

5. To implement action plans to address concerns raised by postgraduate research students, including in response to student experience surveys.

6. To consider and make recommendations to the Doctoral College for revisions to the University policy and strategy for postgraduate research, including the Regulations and Codes of practice.

7. To evaluate the effectiveness of both the training provision within the Faculty and the provision within the Doctoral College to take action, as appropriate, to ensure that researchers’ training needs are met.

8. To inform the strategy and operational implementation of the management and allocation of funding for postgraduate researchers.

9. To be responsible for monitoring the Faculty’s postgraduate research applications, admissions against targets, mid-session and annual student progress reviews, confirmation reviews, higher degree awards made, and completion rates.

10. To consider, recommend and implement activities to evaluate and enhance the quality of postgraduate research provision in the Faculty.

11. To communicate with other bodies that might impinge on the Committee’s work, to ensure optimal and coordinated practice.

12. To establish from time to time as appropriate, working groups to consider and advise on specific issues.

13. To report and make recommendations to the Faculty Research Committee and Doctoral College Board.
**Membership**

Chair  
Faculty Associate Dean (Doctoral College)

**Nominated members**
- Members of Schools/Department/Research Centres as representative of the Faculty structure and provision, who, as part of their duties, are responsible for issues around postgraduate research  
- Appropriate professional services representation from the Faculty  
- At least one postgraduate research student

**In attendance**
- One representative from the Researcher Development Programme  
- Other staff as required

The Committee can co-opt additional members as required for specific purposes.

**Period of appointment**
Nominated members are appointed for three years. Appointments for student representatives may vary based on the terms of selection for representative positions.

Co-opted members remain as members for as long as their purpose on the Committee is required.

**Operation**

**Quoracy**
The quoracy requirements shall be not less than half the current members. In the event of a tied vote, the Chair shall have a casting vote.

**Frequency of meetings**
Meetings are held at least three times per academic year or more frequently as business dictates.

**Decision making outside of meetings**
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 75% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

**Reports to**
Faculty Research Committee  
Doctoral College Board
Appendix 12 - Boards of Studies

For each programme of study at undergraduate and taught postgraduate level and for the
taught components of practitioner doctorates, there shall be a Board of Studies. Cognate
programmes can be grouped under the same Board of Studies.

References to the Chair shall be construed as referring to the Vice-Chair in the absence of
the Chair.

Terms of reference

1. To be responsible for the academic standards, quality assurance and quality
   enhancement of the programme(s) of study within its remit.

2. To consider the development of new programmes in accordance with Faculty
   strategy

3. To consider statistics relating to admission to the programme(s) of study.

4. To consider and approve the annual programme review report for submission to the
   Faculty.

5. To consider the outcomes of student surveys (NSS, PTES if relevant, MEQs) and
   develop action plans in response.

6. To consider student performance-related data (progression, degree outcomes,
   DHLE) and develop action plans as necessary.

7. To monitor activity undertaken to obtain and retain Professional, Statutory and
   Regulatory Bodies (PSRB) accreditations as applicable to the provision within the
   School/Department and to escalate issues to the Faculty as necessary.

8. To receive reports from Staff/Student Liaison Committees and take action as
   necessary.

9. To consider external examiners' reports for the programmes and modules within its
   remit and develop action plans as appropriate.

10. To consider and approve major and minor modifications to modules and programmes
    in accordance with the relevant University Codes of practice.

11. To consider such matters as may be referred to it by the Faculty Education
    Committee and report back as appropriate.

12. To ensure that a record is taken and kept of each meeting by the Secretary and any
    action and/or decisions taken under delegation of functions.

13. A Board of Studies may delegate any of its functions to the Chair, Vice-Chair, an
    individual or group of members.

Membership

Chair
Appointed by the Executive Dean and Pro Vice-Chancellor of Faculty or Head of
School/Department; the Chair would normally be the Director of Learning and Teaching or a
Programme Leader
Vice-Chair
Appointed by the Executive Dean and Pro Vice-Chancellor of Faculty or Head of School/Department

Ex-officio members
- Head of School/Department
- School/Department Directors of Learning and Teaching
- Programme Leader(s)
- Module Leaders for modules contributing to the programme(s)
- Chair(s) of the Boards of Examiners that report to the Board of Studies
- Other staff from the School/Department who teach on the programme(s) to include academic staff (including all levels of Teaching Fellows), Associate Tutors
- Postgraduate research students who support teaching on the programme(s)
- Postgraduate research demonstrator representative (where applicable)
- Staff from other Schools/Departments who teach on the programme(s) to include academic staff (including all levels of Teaching Fellows), Associate Tutors
- At least one elected student per level from each of the programmes reporting to the Board.
- Up to three representatives from professional services areas as determined by the Chair.

In addition, the Faculty Associate Dean (Education) is entitled to attend any Board of Studies in their Faculty as a full member.

In attendance
A member of Academic Registry staff (Secretary)

Period of appointment
The Chair and Vice-Chair are appointed for a period not exceeding three years and are eligible for re-appointment for not more than one further consecutive period of up to three years.

If a member ceases to teach on the programme they consequently cease to be a member of the Board of Studies.

Student representatives shall be appointed for a period of one academic year and shall be eligible for re-appointment.

Operation
Quoracy
The quoracy requirements shall be ten or not less than one third of the members whichever may be the less. Those present shall include the Chair or Vice-Chair.

Frequency of meetings
A Board of Studies should normally meet twice a year with the first meeting no later than November and the second meeting no later than March. All aspects of the Terms of Reference should be covered during the year. Typically, for most taught programmes the first meeting would focus on annual review activity, including external examiners comments and student feedback with the second meeting considering programme changes. Exceptionally, a further meeting can be held to consider programme changes, but this should be no later than April where changes relate to undergraduate programmes or July when considering postgraduate taught provision.
Should it prove necessary to vote on any matter, it shall be determined by a simple majority. Each member present shall have one vote and in the case of equality the Chair shall have an additional casting vote.

Reserved business
The business of a Board of Studies shall be subject to the University’s convention on reserved business. The Chair shall decide whether an item of business should be regarded as reserved business. The Chair’s decision shall be final.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 50% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
Faculty Education Committee

Sub-structure
Boards of Examiners
Student/Staff Liaison Committees

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4 ‘Reserved Business’ may be taken to include matters affecting the personal position of members of staff and students and the admission and academic assessment of individual students. Wherever possible the Chair shall denote in the agenda prior to the meeting those items deemed to be reserved business in accordance with that convention. Where reserved items of business do occur, student representatives should be advised of the general nature of these items and should be advised subsequently of the Board’s decision(s) on them.
Appendix 13 - Boards of Examiners

The terms of reference, membership and operation of Boards of Examiners shall be read in conjunction with the Regulations for taught programmes, the Code of practice for assessment and feedback and the Code of practice for external examining: taught programmes. Terms used shall have the same meanings as defined in those Regulations.

For each programme of study at undergraduate and taught postgraduate level and for the taught components of practitioner doctorates, there shall be a Board of Examiners. Cognate programmes can be grouped under the same Board of Examiners.

References to the Chair shall be construed as referring to the Vice-Chair in the absence of the Chair.

Terms of reference
1. To be responsible for the general conduct of the assessment of the programme of study, in accordance with the Regulations for taught programmes and the Code of practice for assessment and feedback.
2. To ensure that suitable arrangements are made for the setting, holding and marking of examinations and other forms of assessment in conjunction with the Assessment and Awards Office of the Student Services and Administration Directorate.
3. To confirm for each candidate at each stage of the programme the marks/grades for each examination paper or other form of assessment.
4. To consider unusual distributions of marks and degree classifications and to take corrective action as necessary in accordance with the Code of practice for assessment and feedback.
5. To propose to the Senate Progression and Conferment Executive (SPACE) any action taken to remedy anomalous mark distributions.
6. To consider the views of the external examiner(s) on any matter concerning the assessment of the programme, whether they are given verbally or in the form of a written report.
7. To recommend to SPACE whether each candidate should be awarded a Degree, Diploma or Certificate and with what class of honours or other mark of credit or distinction, in accordance with the Regulations for taught programmes.
8. To ensure that a record is taken and kept of each meeting by the Secretary and any action and/or decisions taken under delegation of functions. This shall include:
   - the marks/grades for each candidate
   - the recommendations to SPACE in respect of each candidate
   - the result of any vote
   - any other matter the Board of Examiners shall deem necessary
9. To ensure that a copy of the agreed award report, together with the recommendation in respect to each candidate, is signed by the Chair and, in the case of the final examination or any other stage which is externally assessed in accordance with the Code of practice for assessment and feedback and the Code of practice for external examining: taught programmes, by the external examiner(s). If an external examiner
is unwilling to sign an agreed award report, he/she shall report the reasons to the Head of Quality Enhancement and Standards at the earliest opportunity, who shall inform the Pro Vice-Chancellor, Education and the Academic Registrar.

10. To ensure that, in addition to the agreed award report, a list of the Board of Examiners’ recommendations is submitted to the Assessment and Awards Office for submission to SPACE.

11. To review the outcomes of assessment and other data relating to the delivery of academic programmes and to consider future enhancements for inclusion as part of the annual programme review (APR) action plan.

12. A Board of Examiners may delegate any of its functions to the Chair, Vice-Chair, Faculty/Departmental Examinations Officer or to a group of members working with Faculty professional services staff, provided that no recommendation for the award of a Degree, Diploma or Certificate shall be made without the involvement of an external examiner. If such a group is unable to reach unanimous agreement on a recommendation for an award, a full meeting of the Board of Examiners shall consider the matter.

13. The Chair shall be responsible for informing the Board of Examiners of any special circumstances which might have affected a candidate’s performance and of which the candidate has informed the Executive Dean and Pro Vice-Chancellor of Faculty/Head of Department in accordance with the relevant Regulations.

Membership

Chair
Appointed by the Executive Dean and Pro Vice-Chancellor of Faculty or Head of School/Department from members of academic staff of the School/Department who teach on the programme.

Vice-Chair
Appointed by the Executive Dean and Pro Vice-Chancellor of Faculty or Head of School/Department from members of academic staff of the School/Department who teach on the programme.

Ex-officio members
- Members of the academic staff of the School/Department who teach on the programme(s)
- Members of academic staff of other Schools/Departments who teach on the programme on the recommendation of the Board of Studies
- Other persons who teach on the programme on the recommendation of the Board of Studies
- Head(s) of the Schools/Department(s) responsible for the programme, if not otherwise a member
- The external examiner(s) (see Code of practice for external examining: taught programmes);

In addition, the Executive Dean and Pro Vice-Chancellor of the Faculty or their nominee, normally the Associate Dean (Education) is entitled to attend any Board of Examiners in their Faculty as a full member.

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5 Academic staff are as defined in Regulation 5 of the Introduction to the Regulations.
In attendance
- One member of the Academic Registry (Secretary)
- The Faculty/School/Departmental Examinations Officer(s), if not already a member(s)

Period of appointment
The Chair and Vice-Chair are appointed for a period not exceeding three years and are eligible for re-appointment.

If a member ceases to teach on the programme they consequently cease to be a member of the Board of Examiners provided that such a person shall continue to be a member until the end of the academic year.

Operation
Prior to each Board of Examiners preparatory work will be undertaken to ensure that Boards receive proposed final marks for confirmation as per item 3 of the Terms of Reference. Marks for individual students will be agreed by the Director of Learning and Teaching and at least two members of academic staff delivering on the programme.

Quoracy
The quoracy requirements shall be not less than one third of the members. Those present shall include the Chair or Vice-Chair and, in the case of a meeting to recommend the award of Degrees, Diplomas or Certificates, at least one external examiner.

Frequency of meetings
Meetings are held at least once a year. Additional meetings may be held at the discretion of the Chair.

At a meeting of a Board of Examiners every effort shall be made to reach a decision by consensus. If it proves necessary to vote on any matter it shall be determined by a simple majority; each member present shall have one vote and in the case of equality the Chair shall have an additional casting vote.

Confidentiality
The proceedings of a Board of Examiners shall be confidential to members of the Board and appropriate officers of the University except in relation to the release of results in accordance with the Code of practice for assessment and feedback.

Decision making outside of meetings
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 50% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

Reports to
Senate Progression and Conferment Executive (SPACE) for the consideration of overall mark profiles and the award of Degrees, Diplomas and Certificates.
Board of Studies for the local operation of assessment practices
Appendix 14 - Student/Staff Liaison Committees

Each School/Department shall operate a Student/Staff Liaison Committee (SSLC). Depending on the size and/or particular requirements of the School/Department, there can be separate Committees for undergraduate and taught postgraduate students.

SSLC meetings should be conducted in an open and constructive manner. SSLCs are intended primarily to be a forum for discussion; they can take operational decisions about how to make day to day improvements but decisions that would require changes to module and programme specifications, for example, can only be taken by the Board of Studies.

Terms of reference

1. To serve as an informal arena for students to raise matters of concern with School/Departmental and Professional Services staff and for staff to share with students general matters pertaining to the programme(s) and the student learning experience.

2. To consider data and outcomes of student surveys (MEQs, NSS, PTES if relevant,) contribute to the development of action plans and facilitate communication of responses with the wider student body.

3. To consider external examiners’ reports and contribute to the development of action plans as appropriate.

4. To develop and articulate the School/Department’s approach to the use of captured content (see Policy for the use of Captured Content).

5. To report to the Board of Studies and raise matters for consideration at the Board.

6. To identify areas of good practice for report to the Board of Studies.

7. To discuss MySurrey Voice analytics, consider any issues and share best practice.

Membership

Chair
Normally the Director of Learning and Teaching or a Programme Leader or one of the student representatives

Members
- All student representatives for the programmes covered by the Committee
- The School/Department should decide on the appropriate numbers and designations of staff to be members but should aim to have an even number of staff and students. Staff members should not greatly outnumber student members.
- Professional Services staff as required

In attendance
Notes of the meeting can either be taken by a member of the Academic Registry staff or one of the student representatives.

Period of appointment
All members will remain on the Committee for as long as they hold their particular roles.


**Operation**

**Frequency of meetings**
It is recommended that there are four meetings a year, two per semester to take place several weeks before and after the Board of Studies. Where this is not practicable the second meeting per semester can be a virtual meeting.

**Decision making outside of meetings**
Decisions may exceptionally be taken by Chair’s action.

At the discretion of the Chair, decisions may also be passed by email circular, or similar electronic means, provided that all members are copied into the electronic exchange.

In such instances, for such a decision to be valid, not less than 50% of the membership must reply to the Secretary to confirm their agreement. The Secretary shall forward a compilation of responses to the Chair and shall confirm to all members that the decision has been passed. A copy of the decision signed by the Chair shall be treated as properly passed by a meeting duly convened and held.

**Reports to**
Board of Studies
**Guidance on the protocols for Student/Staff Liaison Committees, including template agendas and minutes**

**Purpose**
Student/Staff Liaison Committees are informal meetings where students can raise matters of concern with staff and where staff can talk to students about their experiences.

**Membership**
It is important that students feel able to raise matters at the meetings and so the composition of the Committee should be such that staff do not greatly outnumber students. Consideration should be given to having a student chair the meeting. The membership of the Committee is deliberately flexible to allow for the different sizes of Schools/Departments. The Committee should decide which Professional Services staff should attend but bear in mind that such staff might also be attending Boards of Studies and so avoid duplication.

**Frequency of meetings**
It is recommended that there are four meetings a year, two per semester, the first to take place before the Board of Studies and the second after; this second meeting could be a virtual one to provide an update on matters considered at the Board of Studies. Dates for meetings should be set in advance at the beginning of the academic year and notified to all students (via SurreyLearn).

**Raising of issues**
Major issues of concern should not wait to be raised at the SSLC meeting but notified to the School/Department as they happen. Students should be asked for topics that need to be addressed ahead of the SSLC meeting so that an informed discussion and possible solutions can be considered at the meeting. This could also flag up whether particular professional services staff should be invited where an issue has arisen in their particular area. Consideration should be given as to whether a pre-meeting with the chair and the reps would be helpful in this respect.

Advance notification will filter out issues that are not within the remit of the School/Department to solve which should be escalated upwards within the Faculty and/or University by the SSLC Chair.

**Conduct of meetings**
Students and staff should approach the meetings in a constructive manner and be respectful of all views (in accordance with the spirit of the Student and Staff Partnership Manifesto). Students should be aware that it is not always possible to make the changes they request, and staff should report back to students the reasons why a particular change cannot be made. Those reps not able to attend the meeting should be able to send in comments either written to the chair or via another rep. In addition to discussing matters of concern, it is also important to identify areas of good practice for report to the Board of Studies and upwards to the Faculty.

**Agendas and minutes**
Agendas for the meeting can be produced by the student reps or a colleague from the School/Department. It is up to the School/Department how they want to arrange this and communicate the arrangements to all concerned. Agendas for meetings should be made available in advance of the meeting on SurreyLearn. A template for an agenda is given below; this covers the items that are expected to be covered, although not all of them might be relevant for all meetings. Schools/Departments are free to add additional items, although care should be taken not to duplicate matters that are discussed at Boards of Studies.
Student/Staff Liaison Committees do not require formal minutes, rather brief notes should be made. Individual students should not be identified. A template for meeting notes is given below.

Notes should ideally be produced within 10 days of the meeting, and once agreed by the Chair, published on SurreyLearn with a copy being sent to the Student Union. This helps the Union to take an overview of issues being raised by students across the University. It is important to ensure that the feedback loop is closed following an issue being raised. This might not need to wait until the notes of the meeting are available but could happen via a targeted newsletter or other quick effective way of communicating with students.
Template agenda for Student/Staff Liaison Committees

School of [ ]
Student/Staff Liaison Committee

The next meeting of the Student/Staff Liaison Committee will be held at [time] on [date] in [location]

Please send apologies to [name and email address]

AGENDA

1. Apologies for absence

2. Terms of reference and scope of SSLC [first meeting of the academic year]

3. Notes of the previous meeting held on [ ]

4. Actions taken in response to issues raised at the previous meeting

5. Matters raised by representatives of each cohort [year groups, PTY students, postgraduate students, distance learning students, as appropriate. Note that there is merit in varying the order in which these groups report, e.g. to sometimes have matters raised by final year students prior to matters raised by first year students, and vice versa]

6. Matters raised by staff members [to include improvements to the student learning experience, with particular emphasis on future planning, including curriculum development.]

7. NSS results and action plan [the first meeting of the year would receive the results and talk about what actions might be required to address any issues; subsequent meetings would receive any updates on action plans]

8. PTES results and action plan [the first meeting of the year would receive the results and talk about what actions might be required to address any issues; subsequent meetings would receive any updates on action plans]

9. Student evaluation of learning and teaching (e.g. MEQ outcomes).

10. External examiner’s reports and responses [Boards of Studies are formally responsible for considering external examiner’s reports and agreeing actions, but the reports should be received here so that all student reps have an opportunity to see them and contribute to any required actions]

11. Learning resources matters [some Committees may choose to invite relevant Professional Services staff to these meetings in addition to the Board of Studies]

12. Use of captured content [discussion of the School/Department’s approach, examples of existing practice for sharing]

13. What’s going well

14. Any other business

15. Date, time and place of next meeting
Template notes for Student/Staff Liaison Committees

School of [ ]
Student/ Staff Liaison Committee

Notes of the meeting of the Student/ Staff Liaison Committee held at [time] on [date] in [location]

NOTES

1. PRESENT (list all members by name and category and asterix those not in attendance)
   Mr X (Chair)  3rd year rep, BSc Policy Making
   Dr Y   Module Leader, Change in the Modern World
   Ms Z*   1st year rep, BSc International Development

2. APOLOGIES FOR ABSENCE
   2.1 Apologies for absence were received from Ms Z.

3. TERMS OF REFERENCE AND SCOPE OF SSLC (paper reference number; give any papers received a unique reference number; numbers run sequentially from the beginning of the academic year)
   3.1 The terms of reference were received and had not been changed from the previous year

4. Notes of the previous meeting held on [date]
   4.1 The notes were agreed as a true record of the meeting
   OR
   The notes were agreed subject to the following amendment to note 16.2
   “……”

5. ACTIONS TAKEN IN RESPONSE TO ISSUES RAISED AT THE PREVIOUS MEETING
   5.1 Feedback
   The Programme Leader confirmed that feedback was now being returned to students in accordance with University requirements.

   5.2 Lecture Theatres
   The Programme Leader reported that they had met with Estates and that it was not possible to make the necessary improvements this current academic year due to financial constraints. However, a schedule of improvements will take place over the summer.

6. MATTERS RAISED BY REPRESENTATIVES OF EACH COHORT
   6.1 First year
   Students are finding the Introduction to World Economics module difficult because of ........and reported that the reading lists are not very extensive.
   The Module Leader agreed to ........
7. MATTERS RAISED BY STAFF MEMBERS
7.1 The following were noted:

- Periodic programme review to take place next academic year – a chance for students to input into future developments. Further information to be provided at the next meeting.
- Dr K has been awarded a Teaching Excellence Award and will be holding some lunchtime seminars to talk about his ideas for the future development of assessment methods – all staff and students in the School welcome to attend and input to the discussion. Details to be advertised on SurreyLearn.

8. NSS RESULTS AND ACTION PLAN (paper reference number)
8.1 The NSS results were received and it was noted that.....
8.2 The following actions for improvement were suggested to report to the Board of Studies:

9. STUDENT EVALUATION OF LEARNING AND TEACHING
9.1 MEQ results for semester ? showed that .... Student reps from year 2 are particularly concerned about .....Dr J agreed to look into this and report back to the next meeting.

10. EXTERNAL EXAMINER’S REPORTS AND RESPONSES (paper reference number)
10.1 Three of the four external examiners’ reports due have been received. A common theme this year has been .....Student reps in year 3 suggested that ..... which would be taken to the Board of Studies by the Programme Leader for further discussion.

11. CAPTURED CONTENT
11.1 The Committee was informed that the Policy for the use of Captured Content had been introduced this year and SSLCs were asked to consider the School’s approach to the use of digital content within each module.
11.2 It was noted that module XXX provided a good example of some interesting ways to use digital content which could be shared with other modules. Ideas for future developments included ... 

12. LEARNING RESOURCES MATTERS
12.1 The Library and Learning Support member updated the Committee on the following developments:

13. WHAT’S GOING WELL
13.1 Masters students
Students have found the induction sessions on academic integrity very helpful.

14. PTY students
Reported via comments sent to the Chair – the new format for the visits from tutors is very successful.

15. ANY OTHER BUSINESS
There were no items of any other business

OR
Student reps from year 3 asked for an update on .....and it was noted that the following arrangements are being put in place:

16. **DATE, TIME AND PLACE OF NEXT MEETING**
16.1 The next meeting will be held at [time] on [date] in [location]
Appendix 15 - Faculty Postgraduate Research Student Engagement Forum

Terms of reference

1. To engage with the postgraduate research student body and discuss feedback on their learning experience.

2. To use postgraduate research student feedback to improve provision.

3. To discuss the findings of student experience surveys with postgraduate research students and inform action plans to address issues.

4. To report on key issues and areas of good practice identified by students to the Faculty Research Degrees Committee. The member of academic staff will be responsible for reporting on these matters.

Membership

Chair
One postgraduate research student

Members

- Associate Dean (Doctoral College)
- Academic and professional services members from Schools/Departments/Research Centres as representative of the structure and provision
- Postgraduate research students from the School, Department or Research Centres nominated by the Students' Union through a competitive process.

The Committee can co-opt additional members as required for specific purposes

In attendance
Other staff as required

Operation

Frequency of meetings
At least three times per academic year or more frequently as business dictates.

Reports to
Action minutes to the Faculty Research Degrees Committee
Appendix 16 – Access and Participation Advisory Group

The Access and Participation Advisory Group (APAG) undertakes the operational work of preparing the University's Access and Participation Plan, analysing and reviewing data, defining appropriate targets, and considering/evaluating plans for the implementation of interventions to address these. The membership comprises representatives from key teams (including, UK/EU Recruitment, Outreach and Admissions, Student Experience, Employability & Careers and Library and Learning Support Services) and also academic and student members.

Terms of reference

1. To develop the Access and Participation Plan to recommend to the Access and Participation Governance Panel who will recommend the Plan to Executive Board and Council for approval prior to submission to the Office for Students (OfS).

2. To ensure that the University responds to OfS guidance on access and participation.

3. To review annually whether there are further existing activities that could be considered within the Plan to support access, success and progression.

4. To monitor milestones and targets outlined in the Access and Participation Plan and to ensure appropriate action is taken where they are not being met.

5. To ensure effective use of student data, working with colleagues in Strategic Planning, to identify differential performance and to develop action plans to address differential performance as part of Access and Participation Plan targets.

6. To ensure that effective consultation takes place with the student body, through the Students’ Union including through the Liberation Committee as per the terms of the Access and Participation Plan.

7. To ensure that there is a coordinated and systematic approach to the delivery and evaluation of access, student success and progression measures across all Departments.

8. To develop and agree the Access and Participation impact report prior to sign off by the Access and Participation Governance Panel and then submission to the OfS.

9. Through the Fees, Bursaries and Scholarships Group develop recommendations to the Governance Group relating to the level of bursaries.

Membership

Chair
Head of Student Experience

Members
- Head of Employability and Careers
- Director of Library and Learning Support Services
- Research, Evaluation and Data Manager, Strategic Planning
- Member from Financial Planning and Analysis, Finance Department
- Head of UK/EU Student Recruitment, Outreach and Admissions
- Representative from each Faculty
- Vice-President Voice, Students’ Union
Period of appointment
All members will remain members of the Group for the term of their appointment in their role.

Operation
Frequency of meetings
The Group will normally meet once every two months.

Reports to
Access and Participation Governance Panel for accountability in relation to the Access and Participation Plan, its targets and accountable spending.

To the University Education Committee on all aspects relating to the academic student journey such as student progression and success as well as for wider issues relating to widening participation, outreach, student progression and success.