Competency Framework
Introduction

What are Competencies and how are they used?

A competency is an ability, skill, knowledge or trait that is needed for the successful performance of a job. It is often defined in terms of behaviours.

Overall, competencies are a set of behaviours that an individual must possess in order to perform to the optimum level within that role.

When used properly, and in conjunction with other working practices and procedures, competencies can ensure fairness, openness and equality in the way we recruit staff, select people for training, identify clear development paths and promote people.

The University recognises two separate types of competency to evaluate an individual’s ability to do a job – Technical and Core.

Technical Competencies are the experience and knowledge required to carry out the role. As technical competencies are diverse in their nature, the person specification in the job purpose itself will determine these. For example, in order to carry out a role in finance it would be imperative that the individual had some prior financial experience, knowledge and qualifications.

Core Competencies are far broader in their application can apply to many different jobs. This document details the Core Competencies which the University recognises as necessary.

The University Competency Framework contains ten Core Competencies. It is considered that to carry out a role at a particular level an individual should possess at least those competencies that are ‘Core’ for that level. As the levels progress, so therefore do the number of Core Competencies required to carry out that role. A chart showing the Core Competencies required for each Job Family Level can be found at Appendix A. The depth of which these Core Competencies are required will vary according to each role.

How are Competencies scored?

Each Job Purpose will list the Core and Technical Competencies required for that post. Next to the Core and Technical Competency will be a box for the ‘level’ at which that Competency is required for the post. These levels indicate the depth at which the individual must demonstrate their ability in that Competency. These levels are not generic across the Job Family Level, but are specific to each post. For example, it may be that two Professional Services Level 3 posts require a slightly different depth of the Communication Competency.
## Core Competencies

### Communication

Demonstrates an understanding of the views of others and communicates in a realistic and practical manner using appropriate language. Listens attentively to views and issues of others. Selects appropriate methods of communication for each situation. Conveys and receives information effectively and builds positive working relationships.

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<tr>
<th>Level 1</th>
<th>Is able to exchange basic information in a courteous and effective manner to peers, line managers and customers.</th>
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<td>Level 2</td>
<td>Is able to exchange detailed and more complex information to a broader range of staff.</td>
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<td>Level 3</td>
<td>Is able to effectively transfer key and complex information to all levels of staff, adapting the style of communication as necessary and ensuring that this information is understood. Excellent and accurate standard of written and verbal communication.</td>
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### Adaptability/Flexibility

Adapts and works effectively in different situations, in order to carry out a variety of tasks and remains calm and level headed under pressure. Remains positive and puts difficulties in perspective.

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<tr>
<th>Level 1</th>
<th>Is reliable and flexible in response to work priorities and issues.</th>
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<td>Level 2</td>
<td>Is receptive or contributes to new ideas and approaches and adapts accordingly. Ability to handle conflicting priorities, and deal with unusual incidents.</td>
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<tr>
<td>Level 3</td>
<td>Generation of new ideas and suggestions for change. Ability to flex approach to difficult needs of several concurrent workstreams. Remains resilient whilst working under adverse or conflicting demands.</td>
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### Customer/Client Service and Support

Provides an excellent service to meet internal and external customer/client needs. Understands the needs of the customer/client and looks for ways to provide added value.

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<th>Level 1</th>
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### Receives customer/client feedback and responds positively, reviewing own performance.

| Level 2 | Understands customer/client needs and provides customer/clients satisfaction. Is able to give timely advice in response to enquiries from customers/clients. |
| Level 3 | Actively seeks customer/client feedback. Gives advice which leads to valuable outcomes. Looks to continuously review and improve performance standards of self and team. |

### Planning and Organising

| Level 1 | Provides work on time and to required standard. Planning a wide range of simple tasks, or a small number of complex ones. |
| Level 2 | Workload delivered within deadlines and to agreed standards. Making medium plans for a whole specialist function or project. |
| Level 3 | Completion of work within area for which responsible within deadlines and to agreed standards. Making long term plans which impacts a function or the wider University. |

### Continuous Improvement

| Level 1 | Makes suggestions for improvements to current working methods. Shows a willingness to learn. |
| Level 2 | Looks to improve efficiency and quality of service of own area via input to procedures and processes. Keeps own skills up-to-date and develops a depth or breadth of knowledge in a particular area through learning. |
| Level 3 | Revise or develop procedure and policy and contributes to their successful |
implementation. Keeps up-to-date with developments in own field and keeps abreast of issues internally and externally.

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<th>Problem Solving and Decision Making</th>
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<td>Is able to analyse situations, diagnose problems, identify the key issues, establish and evaluate alternative courses of action and produce a logical, practical and acceptable solution.</td>
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<tr>
<td>Is able to make effective decisions on a day-to-day basis, taking ownership of decisions, demonstrating sound judgement in escalating issues where necessary.</td>
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</table>
| **Level 1**  
  Ability to interpret basic rules and guidelines and know when something needs to be referred to supervisor. |
| **Level 2**  
  Understands and is aware of the range of options available in new or unfamiliar situations and is able to select the appropriate course of action to produce a logical, practical and acceptable solution. Is responsible for decisions of a relatively uniform nature. |
| **Level 3**  
  Ability to analyse situations and to make more complex decisions, where problem solving is not straight forward. |

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<th>Managing and Developing Performance</th>
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<td>Is able to inspire individuals to give their best to achieve a desired result and maintains effective relationships with individuals and the team as a whole, to ensure that the team is equipped to achieve objectives set according to the overall business need. Manages the development and performance of staff through coaching, mentoring and peer support. Has the ability to understand how individuals (at all levels) operate and how best to use that understanding to achieve objectives in the most efficient and effective way. Employs an individual and supportive approach when dealing with staff issues and problems. Promotes a trusting and empathetic environment and equality of opportunity.</td>
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| **Level 1**  
  Supervises a small team within a well-defined staff group which deals mainly with issues which occur regularly.  
  Demonstrates positive values to the team, passing on own skills and knowledge where possible. Communicates expectations for teamwork and collaboration. Gives credit and acknowledges contributions and efforts of individuals to team effectiveness.  
  Supervises daily activities, organising own and others daily/weekly activities. Effective delegation, basic performance monitoring and motivation of the team/group. Ensures team is appropriately skilled, managed and resourced. |
| Level 2 | Manages a team with a well-defined staff group which deals mainly with issues which occur regularly.  
Demonstrates positive values to the team, passing on own skills and knowledge where possible. Communicates expectations for teamwork and collaboration. Gives credit and acknowledges contributions and efforts of individuals to team effectiveness, seeking their ideas and input to make best use of team expertise and improve team performance.  
Manages daily activities, organising own and others daily/weekly activities. Effective delegation, basic performance monitoring and motivation of the team/group. Ensures team is appropriately skilled, managed and resourced. Undertakes staff appraisals, but is expected to highlight individual shortfalls to own Line Manager and work in conjunction with them to help support the individual address these. |
| Level 3 | Provides to a more complex staff group (size or level) either line management or professional management to a project team. Has responsibility for the operational planning of a particular area or project and effectively organising own and others activities over a longer period of time (generally medium-term).  
Demonstrates positive values to the team, passing on own skills and knowledge where possible. Communicates expectations for teamwork and collaboration. Gives credit and acknowledges contributions and efforts of individuals to team effectiveness, seeking their ideas and input to make best use of team expertise and improve team performance.  
Effective delegation, performance monitoring and motivation of the group/project team. Ensures team is appropriately skilled, managed and resourced. For those with direct reports, involved in both undertaking appraisals of staff and (where appropriate) assisting lower levels of management in managing their team’s/individuals performance shortfalls. |
| Level 4 | Provides professional management for either a large department containing individuals who deal with issues that occur regularly or a department/project team containing a group of qualified professionals or those which deal with more diverse issues. Responsible for the long-term planning for the area, authorising allocation of resources for the planning, development and delivery of the department/ project team, taking responsibility for decisions made.  
Communicates expectations for teamwork and collaboration. Is able to inspire individuals to give their best to achieve a desired result and maintains effective relationships with individuals and the team as a whole, to ensure that the team is equipped to achieve objectives set according to the overall business need.  
Effective delegation, performance monitoring and motivation of the team/group. Ensures team is appropriately skilled, managed and resourced. For those with direct reports, involved in both undertaking appraisals of staff and (where appropriate) providing |
guidance to lower levels of management in managing their team's/individuals performance shortfalls.

Level 5
Provides professional management and planning for a dispersed Faculty or University wide team. Directing and managing the interrelationships of a team of Managers and/or highly qualified professionals. Aligns people, work, and systems with the business strategy to harmonize how they work and what they do.

Secures and directs resources for a wide area, influencing and shaping them as appropriate to meet current and future needs. Oversees authorisation of allocation of resources for the planning, development and delivery of the function, taking overall accountability for decisions made, with clear risks attached.

Communicates expectations for teamwork and collaboration. Is able to inspire individuals to give their best to achieve a desired result and maintains effective relationships with individuals and the team as a whole, to ensure that the team is equipped to achieve objectives set according to the overall business need.

Effective delegation, performance monitoring and motivation of the team/group. Ensures team is appropriately skilled, managed and resourced. Seeks ideas and input of colleagues to make best use of team expertise and improve team performance. For those with direct reports, involved in both undertaking appraisals of staff and (where appropriate) providing guidance to lower levels of management in managing their team's/individuals performance shortfalls.

Creative and Analytical Thinking

Identifies issues and takes a proactive approach to dealing with them. Seeks ways to provide added value. Formulates distinctive strategies emphasising high levels of creative thinking. Can demonstrate recognition and development of new ideas and market opportunities.

Demonstrates innovation. Is able to understand, link and analyse information to understand issues, identify options and support sound decision making.

Level 1
Understands core University issues and proactively suggests new ideas or processes with the aim of adding value.

Level 2
Combines fairly complex ideas or processes or rapidly evolving ideas and situations. Adapts others ideas and makes them relevant to the University.

Level 3
Combines complex ideas and situations with many stands or layers. Identifies key components of ambiguous and interwoven problems. Thinks creatively to produce brand
new workable ideas and novel solutions. Good quality of innovative contribution.

**Influencing, Persuasion and Negotiation Skills**

Gains support for ideas and motivates others to advance the objectives of the organisation and influence outcomes.

Builds relationships with others and shows consideration. Is able to present key points of an argument persuasively, negotiate and convince others.

**Level 1**

Capacity to advise and put the case across in relatively straightforward, non-contentious situations, negotiating agreement. Is able to influence or persuade immediate departmental or functional colleagues.

**Level 2**

Capacity to advise others and deal with sensitive issues in difficult situations inside and outside own area, negotiating riskier demands. Negotiates difficult agreements with wide impact. Is able to influence/persuade, as well as mobilise Faculty or Department stakeholders and other members of staff who are not in team for successful outcomes.

**Level 3**

Negotiates more complex agreements with University wide impact. Is able to influence and persuade key University decision makers. Is able to motivate others through building effective relationships and gaining their full support for achieving outcomes.

Managing politics, building relations with key players. Mobilising teams and influencing others for successful outcomes.

**Strategic Thinking and Leadership**

Takes an overview and identifies patterns, trends and long term possibilities. Creates and shapes a vision of the future that fits in with the University’s long term objectives. Is able to articulate strategy to a wider audience.

**Level 1**

Has a broader awareness of other business areas and how these different functions impact upon them and vice versa. Has an awareness of the University strategy and own place within it.

**Level 2**

Looks to analyse current and future challenges and opportunities, identifying best practice and generating ideas that help the business unit promote and deliver priorities more effectively – Actively contributes to the department or functional strategy.
Level 3
Constantly scans the horizon to analyse current and future challenges and opportunities, identifying best practice and generating ideas that help the business unit promote and deliver priorities more effectively. Excellent quality of planning and links to strategy. Develops well-informed strategies that are sensitive to the various needs of multiple stakeholders and partners.

Provides leadership and creates a vision, developing strategies for achieving that vision, whilst making sure as many as possible understand and accept it. Follows and leads across boundaries to engage key stakeholders in a shared agenda and strategy.

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**Technical Competency**

The technical competencies are specific to the role and will be detailed in Section B of the Job Purpose.

**Level 1**
Has a basic level of understanding/experience of the technical competency and is able to apply it with guidance.

**Level 2**
Has a good level of understanding/experience of the technical competency and is able to apply it with little or no guidance.

**Level 3**
Has an expert level of understanding/experience of the technical competency and is able to apply and develop it. Guides others.
## Appendix A – Competency Framework

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<th>Customer/Client Service and Support</th>
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